

FORM PTO-1390 (Modified) • U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

098-06

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/583394

INTERNATIONAL APPLICATION NO.

PCT/ US2004/42807

INTERNATIONAL FILING DATE

December 20, 2004

PRIORITY DATE CLAIMED

December 19, 2003

TITLE OF INVENTION

One Part Receptacle for a Fastener

APPLICANT(S) FOR DO/EO/US

Christopher Churchill

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV282454054US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/583394	INTERNATIONAL APPLICATION NO. PCT/ US2004/42807	ATTORNEY'S DOCKET NUMBER 098-06												
23. Other items or information:														
The following fees have been submitted:														
24. <input checked="" type="checkbox"/> Basic national fee \$300	CALCULATIONS \$ 300.00	PTO USE												
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ... \$0 All other situations. \$200	\$ 200.00													
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the ISA as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the applicant previously communicated to the US by the IB. \$400 All other situations. \$500	\$ 100.00													
TOTAL OF 24, 25 and 26 =	\$ 600													
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(i)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.														
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 35%;">Number of each additional 50 or fraction thereof (round up to a whole)</th> <th style="width: 35%;">RATE</th> </tr> <tr> <td>- 100 =</td> <td>0 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE	- 100 =	0 /50 =	0	x \$250.00	\$ 0.00					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE											
- 100 =	0 /50 =	0	x \$250.00											
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).														
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 35%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>- 20 =</td> <td>0</td> <td>x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td>0</td> <td>x \$200.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =	0	x \$50.00	Independent claims	- 3 =	0	x \$200.00	\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE											
Total claims	- 20 =	0	x \$50.00											
Independent claims	- 3 =	0	x \$200.00											
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00														
TOTAL OF ABOVE CALCULATIONS =														
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.														
SUBTOTAL =														
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).														
TOTAL NATIONAL FEE =														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +														
TOTAL FEES ENCLOSED =														
Amount to be		\$												
Amount to be		\$												

10/583394
AP3 Rec'd PCT/PTO 19 JUN 2006

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$600.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 160750. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Derek S. Jessen
SIGNATURE

Derek S. Jessen
NAME

48-213
REGISTRATION NUMBER

June 19, 2006
DATE

10/583394
AP3 Rec'd PCT/PTO 19 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: Not Yet Assigned

Filed: Herewith

Inventor: Christopher Churchill

Title: **One Part Receptacle for a Fastener**

Atty Doc. No.: 098-06

"EXPRESS MAIL" MAILING LABEL NO:
EV2824544054US US

Date of Deposit: June 19, 2006

I HEREBY CERTIFY THAT THIS PAPER IS
BEING DEPOSITED WITH THE UNITED
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Derek S. Jessen

SIGNATURE: Derek S. Jessen

COVER LETTER WITH CERTIFICATE OF EXPRESS MAIL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed and attached hereto are the following:

- 1) Cover Letter with Certificate of Mailing;
- 2) Application Data Sheet;
- 3) International Application Transmittal (3 pages);
- 4) Substitute Drawings, Abstract, Description and Claims of February 18, 2005;
- 5) Copy of unsigned Declaration and Power of Attorney;
- 6) Information Disclosure Statement w/ attachments;
- 7) PTO Form 1449;
- 8) PCT Request;
- 9) Notification of Payment of Fees Form PCT/RP/102;
- 10) Replacement Sheet 1 of PCT Request of April 13, 2005;

- 11) Replacement Sheet 1 of PCT Request of May 25, 2005;
- 12) Notification of Receipt of Record Copy Form PCT/ISA/301;
- 13) Notification of International Application Number Form PCT/RO/105;
- 14) Invitation to Correct Defects Form PCT/RO/106 of January 19, 2005;
- 15) Invitation to Correct Defects Form PCT/RO/106 of May 6, 2005;
- 16) PCT Publication WO 2004/42807;
- 17) Notification of Recording of a Name Change Form PCT/IB/306;
- 18) Notification of Transmittal of Search Report and Opinion Form PCT/ISA/220;
- 19) Response to Written Opinion of October 19, 2005;
- 20) Preliminary Amendment;
- 21) Notification of Copy of International Application as republished;
- 22) Check in the amount of \$600.00; and
- 23) Paul & Paul Postcard to be returned by the PTO; and
- 24) Formal Drawings (4 pages).

No additional fees are believed to be required. In the event that an additional fee is required with respect to this communication, the Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Paul & Paul Deposit Account No.16-0750.

Respectfully submitted,

Date: June 19, 2006


Derek S. Jessen
Reg. No. 48,213
Paul & Paul
2000 Market Street
Suite 2900
Philadelphia, PA 19103
(215) 568-4900

Order No.: 4053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: PCT/US2004/042807
Filed: December 20, 2004
Inventor: Christopher Churchill
Title: **One Part Receptacle for a
Fastener**
Atty Doc. No.: 343-04

"EXPRESS MAIL" MAILING LABEL NO:
EV 282452084 US

Date of Deposit: April 13, 2005

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NAME OF PERSON MAILING PAPER OR
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Dara Salgado

SIGNATURE:

Dara Salgado

EV282452084US

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Commissioner for Patents
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Alexandria, VA 22313-1450

Sir:

Enclosed and attached hereto are the following:

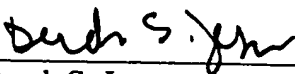
- 1) Cover Letter with Certificate of Mailing;
- 2) Replacement Sheet 1 of PCT Request of
December 20, 2004; and
- 3) Postcard to be date-stamped and returned.

Due to an inadvertent error we are correcting the applicant for all designated states except
the U.S. from Southco, Inc. to Southco, UK Ltd.

No fees are believed to be required. In the event that an additional fee is required with respect to this communication, the Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Paul & Paul Deposit Account No.16-0750.

Respectfully submitted,

Date: April 13, 2005


Derek S. Jessen
Reg. No. 48,213
Paul & Paul
2900 Two Thousand Market Street
Philadelphia, PA 19103
(215) 568-4900

Order No.: 3082

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: PCT/US2004/042807
Filed: December 20, 2004
Inventor: Christopher Churchill
Title: **One Part Receptacle for a Fastener**
Atty Doc. No.: 343-04

"EXPRESS MAIL" MAILING LABEL NO:
EV 282452274 US

Date of Deposit: May 25, 2005

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22313-1450.

NAME OF PERSON MAILING PAPER OR
FEE

Robert DeShields

SIGNATURE:

Robert DeShields

EV282452274US

COVER LETTER WITH CERTIFICATE OF EXPRESS MAIL

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed and attached hereto are the following:

- 1) Cover Letter with Certificate of Mailing;
- 2) Replacement Sheet 1 of PCT Request of
December 20, 2004; and
- 3) Postcard to be date-stamped and returned.

Due to an inadvertent error we are correcting the applicant's nationality and country of residence.

10/583394

PTO-1382 (Rev. 07-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

Express Mail mailing number:	EV 282451835 US	Date of Deposit:	February 18, 2005
File reference no.:	343-04	International Application no. (if known):	PCT/US2004/042807
Title of the invention: One Part Receptacle for a Fastener			
Earliest priority date claimed (Day/Month/Year): 19 December 2003			

☐ This is a new International Application

SCREENING DISCLOSURE INFORMATION:

In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (check as many boxes as apply):

- ☐ The invention disclosed was not made in the United States of America.
- ☐ There is no prior U.S. application relating to this invention.
- ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on the Request (form PCT/RO/101) and this listing does not constitute a claim for priority.)

application no.		filed on	
application no.		filed on	

- ☐ The present international application contains additional subject matter not found in the prior U.S. application(s) identified above. The additional subject matter is found on pages _____ and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.15.

Itemized list of contents

Sheets of Request form:		Check no.:	
Sheets of description (excluding sequence listing):	8	Return receipt postcard:	yes
Sheets of claims:	2	Power of attorney:	no
Sheets of abstract:	1	Certified copy of priority document (specify):	no
Sheets of drawings:	4	Other (specify):	
Sheets of sequence listing:		Response to Invitation to Correct Defects	
Sequence listing diskette/CD:			
Tables related to sequence listing CD:			

The person signing this form is the:

- ☐ Applicant
- ☒ Attorney/Agent (Reg. No.) 48,213
- ☐ Common Representative

Derek S. Jesssen

Name of person signing

Signature

Derek S. Jesssen

This collection of information is required by 37 CFR 1.10 and 1.412. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.